

**SKP Securities Ltd**

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CIN :  
L74140WB1990PLC049032

**QUARTERLY CORPORATE GOVERNANCE REPORT**

Name of the Company: **SKP SECURITIES LTD**  
Quarter ending on : **31<sup>st</sup> December, 2014**

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
<b>II Board of Directors</b>	49 II		
(A) Composition of Board	49(IIA)	Yes	-
(B) Independent Directors	49(IIB)	Yes	-
(C) Non-executive Directors' compensation & disclosures	49(IIC)	Yes	-
(D) Other provisions as to Board & Committees	49(IID)	Yes	-
(E) Code of Conduct	49(IIIE)	Yes	-
(F) Whistle Blower Policy	49(IIIF)	Yes	-
<b>III. Audit Committee</b>	49(III)		
(A) Qualified & Independent Audit Committee	49(IIIA)	Yes	-
(B) Meeting of Audit Committee	49 (IIIB)	Yes	-
(C) Powers of Audit Committee	49 (IIIC)	Yes	-
(D) Role of Audit Committee	49 (IIID)	Yes	-
(E) Review of Information by Audit Committee	49(IIIE)	Yes	-
<b>IV. Nomination Remuneration Committee</b>	49(IV)	Yes	-
<b>V. Subsidiary Companies</b>	49 (V)	Yes	-
<b>VI. Risk Management</b>	49(VI)	Yes	-
<b>VII. Related Party Transaction</b>	49(VII)	Yes	-
<b>VIII. Disclosures</b>	49 (VIII)		
(A) Related Party Transaction	49 (VIIIA)	Yes	There were no Material Transactions with the Related Parties during the quarter ended 31.12.2014.
(B) Disclosure of Accounting Treatment	49 (VIIIB)	N.A.	Accounting Standard has been consistently followed.
(C) Remuneration of Directors	49 (VIIC)	N.A.	Disclosure as required is a part of Annual Report and

**Investment Banking | Wealth Advisory & Prosperity Management | Broking | Distribution**

Sebi Regn. Nos : NSE: INB 230707532 BSE: INB 010707538 MCX-SX: INE 260707532 NSDL: IN-DP-NSDL-222-2001 CDSL: IN-DP-CDSL-132- 2000 AMFI: ARN 0006 NPS: 04110 ISO 9001 : 2008



			will be incorporated in it.
(D) Management	49 (VIID)	N.A.	-do.
(E) Shareholders	49 (VIIE)	Yes	All necessary information are uploaded on the Company's website as required.
(F) Disclosure of Resignation of Directors	49 (VIIF)	N.A.	
(G) Disclosure of Formal Letter of Appointment	49 (VIIG)	Yes	-
(H) Disclosure in the Annual Report	49 (VIHH)	Yes	All disclosures as required will be a part of the next Annual Report.
(I) Proceeds from public issue, rights issues, preferential issues etc	49 (VIHH)	N.A.	No such proceeds is generated during this quarter ended 31.12.2014
<b>IX. CEO/CFO Certification</b>	49 (IX)	Yes	-
<b>X. Report on Corporate Governance</b>	49 (X)	N.A.	All disclosures as required will be a part of the next Annual Report.
<b>XI. Compliance</b>	49 (XI)	N.A.	All certificates related to the compliance will be a part of the next Annual Report.

For & on behalf of  
**SKP Securities Ltd**



**Dipak Kadel**  
Company Secretary

Date: 12<sup>th</sup> January, 2015  
Place: Kolkata