Date: 30th June 2016

To
Deputy General Manager (Listing)
Corporate Relationship Department
BSE Ltd
Floor – 25, Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai- 400001

SKP Securities Ltd

Registered Office: Chatterjee International Centre Level 21 33A Jawaharlal Nehru Road Kolkata 700 071, India

Phone: +91 33 4007 7000

Fax: +91 33 4007 7007

Website: www.skpmoneywise.com

E-mail: info@skpmoneywise.com

CIN: L74140WB1990PLC049032

Company Code:531169

Sub: Submission of Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

Please find enclosed herewith Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half yearly ended 31st March 2016.

Thanking You,

For SKP Securities Limited

Naresh Pachisia Director

Investment Banking | Wealth Advisory & Prosperity Management | Broking | Distribution

Sebi Regn. Nos: NSE: INB 230707532 BSE: INB 010707538 MCX-SX: INE 260707532 NSDL: IN-DP-NSDL-222-2001 CDSL: IN-DP-CDSL-132- 2000 AMFI: ARN 0006 NPS: 04110 ISO 9001: 2008

A MURARKA & CO Company Secretaries



" DIAMOND PRESTIGE "

41-A, A. J. C. Bose Road, 3rd Floor Suite No: 301, Kolkata - 700 017 • India

Phone : 0-33-4061 6033/34/35

Fax : +91-33-4061 6033 E-mail : anilmurarka@gmail.com

COMPLIANCE CERTIFICATE

We have examined all the relevant Books, Registers, Forms, Documents and papers being maintained by "SKP Securities Limited" having its registered office at Chatterjee International Centre, 33A, Jawaharlal Nehru Road, 21st Floor, Kolkata – 700 071, India and by their Registrar & Share Transfer Agent (RTA) "Maheshwari Datamatics Pvt. Ltd." for the purpose of issuing certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Stock Exchange and hereby certify that the Company / its RTA has delivered all certificates of shares issued after registration of transfer within thirty days of their date of lodgment during the half year ended on 31st March, 2016.

We also confirm that the Company and / or its RTA have not received any shares for transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the half year ended on 31st March, 2016.

Place: Kolkata

Date: 20th April, 2016

KOLKATA KOLKATA

(ANIL MURARKA) FCS No. : 3150

COP No. :1857