

### SME IPOs and FPOs (including OFS) - Investors Complaints Data

Data for month ending February 2022

| SN | Received from                 | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|----|-------------------------------|-------------------------------------|---------------------------|----------------------------|----------------------------------|------------------------------|------------------------------------|
| 1  | Directly from Investors       | 0                                   | 0                         | 0                          | 0                                | 0                            | 0                                  |
| 2  | SEBI (SCORES)                 | 0                                   | 0                         | 0                          | 0                                | 0                            | 0                                  |
| 3  | Stock Exchanges (if relevant) | 0                                   | 0                         | 0                          | 0                                | 0                            | 0                                  |
| 4  | Other Sources (if any)        | 0                                   | 0                         | 0                          | 0                                | 0                            | 0                                  |
| 5  | <b>Grand Total</b>            | 0                                   | 0                         | 0                          | 0                                | 0                            | 0                                  |

Trend of monthly disposal of complaints (For 5 months on rolling basis)

| SN | Month              | Carried forward from previous month | Received during the month   | Resolved during the month * | Pending at the end of the month # |
|----|--------------------|-------------------------------------|-----------------------------|-----------------------------|-----------------------------------|
| 1  | Feb-22             | 0                                   | 0                           | 0                           | 0                                 |
| 2  | Mar-22             | To be updated in due course         | To be updated in due course | To be updated in due course | To be updated in due course       |
| 3  | Apr-22             | To be updated in due course         | To be updated in due course | To be updated in due course | To be updated in due course       |
| 4  | May-22             | To be updated in due course         | To be updated in due course | To be updated in due course | To be updated in due course       |
| 5  | Jun-22             | To be updated in due course         | To be updated in due course | To be updated in due course | To be updated in due course       |
|    | <b>Grand Total</b> | 0                                   | 0                           | 0                           | 0                                 |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

| SN | Year               | Carried forward from previous year | Received during the year    | Resolved during the year    | Pending at the end of the year |
|----|--------------------|------------------------------------|-----------------------------|-----------------------------|--------------------------------|
| 1  | 2021               | 0                                  | 0                           | 0                           | 0                              |
| 2  | 2022               | To be updated in due course        | To be updated in due course | To be updated in due course | To be updated in due course    |
| 3  | 2023               | To be updated in due course        | To be updated in due course | To be updated in due course | To be updated in due course    |
| 4  | 2024               | To be updated in due course        | To be updated in due course | To be updated in due course | To be updated in due course    |
| 5  | 2025               | To be updated in due course        | To be updated in due course | To be updated in due course | To be updated in due course    |
|    | <b>Grand Total</b> | 0                                  | 0                           | 0                           | 0                              |