

14<sup>th</sup> October 2019

To  
Deputy General Manager (Listing)  
Department of Corporate Services  
BSE Ltd.,  
Phiroze Jeejeebhoy Towers, 25<sup>th</sup> Floor,  
Dalal Street, Mumbai- 400 001

Dear Sir/Madam,

**Company Code: 531169**

**Sub: Statement of Compliance Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

In compliance with Regulation 40(9) of Securities Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find enclosed herewith a Compliance Certificate for the half year ended 30<sup>th</sup> September 2019.

You are requested to take the same on your record.

Thanking You,

Yours Sincerely,

For SKP Securities Limited



Alka Khetawat  
Company Secretary  
A47322



Encl: as above

**SKP Securities Ltd**

Registered Office:  
Chatterjee International Centre  
Level 21  
33A Jawaharlal Nehru Road  
Kolkata 700 071, India

Phone:  
+91 33 4007 7000

Fax:  
+91 33 4007 7007

Website:  
www.skpmoneywise.com

E-mail:  
info@skpmoneywise.com

CIN :  
L74140WB1990PLC049032

Investment Banking | Wealth Advisory & Prosperity Management | Broking | Distribution

Sebi Regn. Nos : NSE : INB / INF / NSE 230707532 BSE : INB 010707538 NSDL : IN-DP-NSDL-222-2001 CDSL : IN-DP-155-2015 AMFI : ARN 0006 NPS : 04110 ISO 9001:2008



## COMPLIANCE CERTIFICATE

We have examined all the relevant Books, Registers, Forms, Documents and papers being maintained by "**SKP Securities Limited**" having its registered office at Chatterjee International Centre, 33A, Jawaharlal Nehru Road, 21<sup>st</sup> Floor, Kolkata – 700 071, India and by their Registrar & Share Transfer Agent (RTA) "**Maheshwari Datamatics Pvt. Ltd.**" for the purpose of issuing certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Stock Exchange and hereby certify that the Company / its RTA have not received any shares for transfer, sub-division, consolidation, renewal, exchange or remat during the half year ended on 30<sup>th</sup> September, 2019.

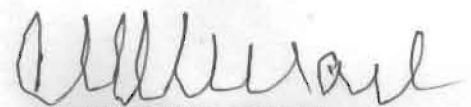
We also certify that the Company and / or its RTA have delivered all certificates of shares after registration of transmission and duplicate within 30(thirty) days of their date of lodgment during the half year ended on 30<sup>th</sup> September, 2019.

Place: Kolkata

Date : 11<sup>th</sup> October, 2019

UDIN : F0031504000056170



  
(ANIL MURARKA)  
FCS No. : 3150  
COP No. : 1857